



61 10-06-03

RCE/2890
PATENT \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Klein L. Johnson

Examiner: Mooney, Michael P.

Serial No.: 09/342,801

Group Art Unit: 2877

Filed: June 29, 1999

For: HERMETIC CHIP-SCALE PACKAGE FOR PHOTONIC DEVICE

Docket No.: 1139.1125101 (H16-25073 US)

TRANSMITTAL SHEET

Mail Stop RCE

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV315608966US, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 3rd day of October, 2003.

By

Lynn Thompson
Lynn Thompson

We are transmitting herewith the attached:

☒ Request for Continued Examination (RCE)

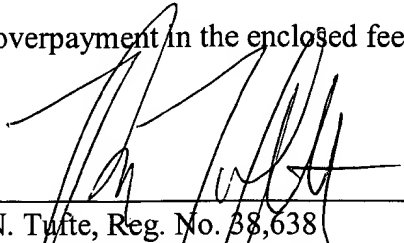
☒ Preliminary Amendment

☒ The fee has been calculated below:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	64-	66=	2	x9=	\$	x18=	\$ 36
INDEPEN-DENT CLAIMS	7-	5=	2	x42=	\$	x84=	\$168
() FIRST MULTIPLE DEPENDENT CLAIM				+140=	\$	+280=	\$
TOTAL				\$		\$204.00	

☒ Fee Transmittal For FY 2003 authorizing payment in the amount of \$954.00 (\$770.00 RCE) (\$204.00 claims) to be charged to Honeywell Deposit Account No. 01-1125.

- [X] Information Disclosure Statement, Form PTO 1449, 19 cited references
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.



Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

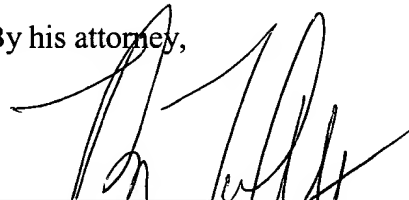
charge any additional fees required by this paper or credit any overpayment to Honeywell

Deposit Account No. 01-1125.

Respectfully submitted,

Klein L. Johnson

By his attorney,

A handwritten signature in black ink, appearing to read "Brian N. Tufte", written over a horizontal line.

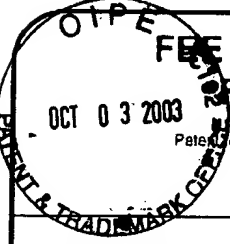
Dated: October 3, 2003

Brian N. Tufte, Reg. No. 38,638
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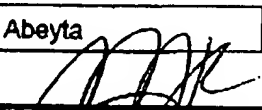
This form is a **Replica of PTO/SB/17 (01/01)**

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number

<div style="text-align: center;">  <p>FEE TRANSMITTAL For FY 2003</p> <p><small>Patent fees are subject to annual revision.</small></p> </div>	<div style="text-align: center;">COMPLETE IF KNOWN</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Application No.</td> <td>09/342,801</td> </tr> <tr> <td>Filing Date</td> <td>June 29, 1999</td> </tr> <tr> <td>First Named Inventor</td> <td>Klein L. Johnson</td> </tr> <tr> <td>Group Art Unit</td> <td>2877</td> </tr> <tr> <td>Examiner Name</td> <td>Michael P. Mooney</td> </tr> <tr> <td>Attorney Docket No.</td> <td>H16-25073 US (1139.1125101)</td> </tr> </table>	Application No.	09/342,801	Filing Date	June 29, 1999	First Named Inventor	Klein L. Johnson	Group Art Unit	2877	Examiner Name	Michael P. Mooney	Attorney Docket No.	H16-25073 US (1139.1125101)
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TOTAL AMOUNT OF PAYMENT \$978.00													

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																								
<p>1 <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: <u>01-1125</u></p> <p>Deposit Account Name: <u>Honeywell International Inc.</u></p> <p><input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17</p> <p>2 <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Code</th> <th>Fee \$</th> <th>Code</th> <th>Fee \$</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,250</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>260</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,150</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,250	For filing a request for ex parte reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	260	221	140	Request for oral hearing		138	1,510	138	1,150	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	820	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Processing fee under 37 CFR 1.17(q)		126	180	126	180	Submission of Information Disclosure Statement		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))		179	770	279	385	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		195	300	195	300	Publication fee	
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<p>2. EXTRA CLAIM FEES</p> <p>Total Claims: <u>41</u> - 56 = <u>2</u> Extra Claims</p> <p>Independent Claims: <u>7</u> - 5 = <u>2</u> Extra Claims</p> <p>Multiple Dependent Claims: <u>- 0</u> = <u>- 0</u> Extra Claims</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Code</th> <th>Fee \$</th> <th>Code</th> <th>Fee \$</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim</td><td></td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td><td></td></tr> </tbody> </table> <p>SUBTOTAL (2) \$208.00</p>	Large Entity		Small Entity		Fee Description	Fee Paid	Code	Fee \$	Code	Fee \$	103	18	203	9	Claims in excess of 20		102	84	202	42	Independent claims in excess of 3		104	280	204	140	Multiple dependent claim		109	84	209	42	Reissue independent claims over original patent		110	18	210	9	Reissue claims in excess of 20 and over original patent																																																																																																																																																		
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SUBMITTED BY			Complete (if applicable)	
Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582	Telephone 602-313-3345
Signature			Date	Oct. 3, 2003

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